

FINANCIAL INSTITUTIONS (RESOLUTION) ORDINANCE (Chapter 628)

NOTICE OF DESIGNATION OF LEAD RESOLUTION AUTHORITY

Pursuant to section 7 of the Financial Institutions (Resolution) Ordinance (Chapter 628), I hereby designate the Insurance Authority as the lead resolution authority of each of the following cross-sectoral groups:—

(in alphabetical order)

Cross-sectoral groups

1. Aegon N.V.
2. Allianz SE
3. Axa S.A.
4. Ping An Insurance (Group) Company of China, Ltd.
5. Prudential Financial, Inc.
6. Prudential plc

Within scope financial institutions

Transamerica Life (Bermuda) Ltd
AEGON Asset Management (Asia) Limited
Allianz Global Corporate and Specialty SE
EULER HERMES
Allianz Global Investors Asia Pacific Limited
PIMCO Asia Limited
AXA China Region Insurance Company (Bermuda) Limited
AXA China Region Insurance Company Limited
AXA Corporate Solutions Assurance
AXA General Insurance Hong Kong Limited
AXA Life Insurance Company Limited
AXA Wealth Management (HK) Limited
AllianceBernstein Hong Kong Limited
AXA China Region Investment Services Limited
AXA Financial Planning (Hong Kong) Limited
AXA Investment Managers Asia Limited
AXA Investment Managers Chorus Limited
AXA Rosenberg Investment Management Asia Pacific Limited (trading as AXA Rosenberg Asia Pacific; AXA Investment Managers)
Sanford C. Bernstein (Hong Kong) Limited
China Ping An Insurance (Hong Kong) Company Limited
Ping An of China Asset Management (Hong Kong) Company Limited
Ping An of China Capital (Hong Kong) Company Limited
Ping An of China Futures (Hong Kong) Company Limited
Ping An of China Securities (Hong Kong) Company Limited
Prudential Insurance Company of America, The PGIM (Hong Kong) Limited
Prudential General Insurance Hong Kong Limited
Prudential Hong Kong Limited
Eastspring Investments (Hong Kong) Limited (trading as Eastspring Investments)
M&G Investments (Hong Kong) Limited

In this notice, the term 'cross-sectoral group' has the meaning assigned to it by section 2(1) of the Ordinance, namely a group of companies that includes within scope financial institutions from more than one sector. The cross-sectoral groups covered by this notice include all entities (whether or not specified in the list of within scope financial institutions above) that exist and belong to the respective cross-sectoral groups from time to time during the subsistence of this notice.

The designation takes effect from 27 April 2018.

27 April 2018

Paul CHAN *Financial Secretary*