

ESTATE AGENTS ORDINANCE (Chapter 511)

Pursuant to section 30 of the Estate Agents Ordinance, it is hereby notified that the Disciplinary Committee of Estate Agents Authority has exercised the following disciplinary power against the following licensee:—

*Licence No.* S-296273

*Name of Licensee* WONG Siu Yu

*Matter Concerned* The licensee:—

- (i) when handling the sale and purchase transaction of a residential property ('the Property'), arranged for one of the vendors of the Property to sign an estate agency agreement ('Form 3') with signing date being backdated; and
- (ii) arranged for only one of the registered owners of the Property to sign the Form 3 whilst knowing that there were two registered owners.

*Date of Decision* 17 November 2021

*Decision on Disciplinary Power Exercised* 1. In respect of the aforesaid matter (i):—

- (a) The licensee is reprimanded; and
- (b) A condition is attached to the licensee's licence requiring the licensee to attend and complete activities under the Estate Agents Authority Continuing Professional Development Scheme 'Compliance and Effective Management' category by way of seminars or lectures only and thereby acquire 12 points recognised by the Estate Agents Authority within 12 months, at least 3 points of which shall be acquired from seminars or lectures on the Estate Agents Ordinance and its subsidiary legislation, Code of Ethics and Practice Circulars subject; and at least another 3 points of which shall be acquired from seminars or lectures on the Professional Ethics and Integrity subject.

2. In respect of the aforesaid matter (ii):—

- (a) The licensee is reprimanded; and
- (b) A condition is attached to the licensee's licence requiring the licensee to attend and complete activities under the Estate Agents Authority Continuing Professional Development Scheme 'Compliance and Effective Management' category by way of seminars or lectures only and thereby acquire 12 points recognised by the Estate Agents Authority within 12 months, at least 3 points of which shall be acquired from seminars or lectures on the Estate Agents Ordinance and its subsidiary legislation, Code of Ethics and Practice Circulars subject; and at least another 3 points of which shall be acquired from seminars or lectures on the Professional Ethics and Integrity subject.

With regard to paragraphs 1(b) and 2(b) above, the condition being attached to the licence concerned of the licensee is as follows:—

‘The above-named licensee shall, during the period of 24 months from 6 December 2021 to 5 December 2023, attend and complete activities under the Estate Agents Authority Continuing Professional Development Scheme ‘Compliance and Effective Management’ category by way of seminars or lectures only and thereby acquire 24 points recognised by the Estate Agents Authority, at least 6 points of which shall be acquired from seminars or lectures on the Estate Agents Ordinance and its subsidiary legislation, Code of Ethics and Practice Circulars subject; and at least another 6 points of which shall be acquired from seminars or lectures on the Professional Ethics and Integrity subject.’

*31 December 2021*

*Estate Agents Authority*