ESTATE AGENTS ORDINANCE (Chapter 511)

Pursuant to section 30 of the Estate Agents Ordinance, it is hereby notified that the Disciplinary Committee of Estate Agents Authority has exercised the following disciplinary power against the following licensee:—

Licence No.
Name of Licensee

E-298542

Matter Concerned

YAN Yiu Chung

In handling the sale and purchase transaction of a residential property, the licensee failed to keep the original or a copy of the documents, and a record of the data and information obtained in the course of

identifying and verifying the identity of the customer.

Date of Decision

17 November 2022

Decision on Disciplinary Power Exercised (a) Reprimand; and

(b) The following condition be attached to the licence concerned of the licensee:—

'The above-named licensee shall, during the period of 12 months from 5 December 2022 to 4 December 2023, attend and complete activities under the Estate Agents Authority Continuing Professional Development Scheme 'Compliance and Effective Management' category by way of seminars or lectures only and thereby acquire 12 points recognised by the Estate Agents Authority, and at least 6 points of which shall be acquired from seminars or lectures on the Estate Agents Ordinance and its subsidiary legislation, Code of Ethics and Practice Circulars subject.'

30 December 2022

Estate Agents Authority