

G.N. 7820

SECURITIES AND FUTURES ORDINANCE (Chapter 571)
(The 'Ordinance')

(Notice under section 402)

NOTICE SPECIFYING THE FORM OF THE RETURN TO BE SUBMITTED UNDER
SECTION 56 OF THE SECURITIES AND FUTURES (FINANCIAL RESOURCES)
RULES (CHAPTER 571N) (THE 'FRR')

Notice is hereby given that pursuant to section 402 of the Ordinance, the Securities and Futures Commission (the 'Commission') specifies that, with effect from 1 February 2022, the electronic form published on the following website shall be used for the purpose of submitting a return to the Commission required under section 56 of the FRR in respect of any period ending on or after 24 January 2022, and supersede the electronic form specified in the notice gazetted on 29 March 2019 (G.N. 2198) for the same purpose (the 'equivalent form').

<https://www.sfc.hk/web/EN/forms/intermediaries/financial-returns.html>

This notice, however, does not affect the Commission's acceptance of returns in the equivalent form required to be submitted to the Commission by licensed corporations under section 56 of the FRR for periods ending on or before 7 January 2022.

13 December 2021

Julia LEUNG *Deputy Chief Executive Officer and Executive Director,
Intermediaries Securities and Futures Commission*