

G.N. 7542

ESTATE AGENTS ORDINANCE (Chapter 511)

Pursuant to section 30 of the Estate Agents Ordinance, it is hereby notified that the Disciplinary Committee of Estate Agents Authority has exercised the following disciplinary power against the following licensee:—

<i>Licence No.</i>	E-139581
<i>Name of Licensee</i>	SIU Kwan Yee Shirley
<i>Matter Concerned</i>	In handling the sale and purchase transaction of a residential property, the licensee failed to carry out customer due diligence on the customer of the property.
<i>Date of Decision</i>	19 October 2021
<i>Decision on Disciplinary Power Exercised</i>	(a) Reprimand; and (b) The following condition be attached to the licence concerned of the licensee:— ‘The above-named licensee shall, during the period of 12 months from 8 November 2021 to 7 November 2022, attend and complete activities under the Estate Agents Authority Continuing Professional Development Scheme ‘Compliance and Effective Management’ category by way of seminars or lectures only and thereby acquire 6 points recognised by the Estate Agents Authority, and at least 3 points of which shall be acquired from seminars or lectures on the Estate Agents Ordinance and its subsidiary legislation, Code of Ethics and Practice Circulars subject.’

3 December 2021

Estate Agents Authority