

SECURITIES AND FUTURES (OPEN-ENDED FUND COMPANIES) RULES
(Chapter 571AQ)

In exercise of the power conferred on me under rule 29 of the Securities and Futures (Open-ended Fund Companies) Rules (Chapter 571AQ) ('the OFC Rules') and section 32 of the Companies Ordinance (Chapter 622), I hereby specify that with effect from 27 December 2023, any document to be delivered in electronic form to the Registrar of Companies ('the Registrar') for registration under:—

- (a) the OFC Rules; or
- (b) the Companies (Winding Up and Miscellaneous Provisions) Ordinance (Chapter 32) insofar as an open-ended fund company is concerned

shall comply with the following requirements:—

System Requirement

Documents to be delivered in electronic form to the Registrar for registration must be delivered through the e-Filing Services under the e-Services Portal of the Companies Registry at (www.e-services.cr.gov.hk) ('the Portal'). The Portal is the information system designated and used by the Companies Registry for providing specified public services or information to, and receiving electronic information from, users. The Portal also specifies the documents that may be delivered in electronic form to the Registrar for registration.

Format of Electronic Document

Documents to be delivered in electronic form to the Registrar for registration must be delivered in a format using the electronic template or the template in Adobe Portable Document Format ('PDF') as provided at the Portal, or PDF files. PDF documents must not exceed the file size specified by the Portal.

Signature Requirement

Documents to be delivered in electronic form to the Registrar for registration must be authenticated, approved or certified electronically by such person(s) as specified in the relevant electronic template or the template in PDF provided at the Portal. For the purpose of authenticating, approving or certifying the document, the digital signature, or the password of user account registered with the Portal, of such person must be affixed to or included in the document.

This notice supersedes the Gazette notice G.N. 3203 published by the Registrar on 24 June 2022 with effect from 27 December 2023.

17 November 2023

Helen TANG *Registrar of Companies*