## ESTATE AGENTS ORDINANCE (Chapter 511)

Pursuant to section 30 of the Estate Agents Ordinance, it is hereby notified that the Disciplinary Committee of Estate Agents Authority has exercised the following disciplinary power against the following licensee:—

Licence No.

S-575975

Name of Licensee

TAM Ka Man Chris

Matter Concerned

In handling the sale and purchase transaction of a property, the licensee failed to carry out customer due diligence on the customer.

Date of Decision

Decision on Disciplinary

Power Exercised

21 February 2022
(a) Reprimand; and

(b) The

(b) The following condition be attached to the licence concerned of the licensee:—

'The above-named licensee shall, during the period of 12 months from 9 March 2022 to 8 March 2023, attend and complete activities under the Estate Agents Authority Continuing Professional Development Scheme 'Compliance and Effective Management' category by way of seminars or lectures only and thereby acquire 12 points recognised by the Estate Agents Authority, and at least 6 points of which shall be acquired from seminars or lectures on the Estate Agents Ordinance and its subsidiary legislation, Code of Ethics and Practice Circulars subject.'

1 April 2022

Estate Agents Authority